

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**ATTORNEY'S  
DOCKET NUMBER

(If Reference to PCT International Applications)

As below named inventor. I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

GRIP, HUMAN ADAPTER PROTEIN RELATED TO THE GRB2 FAMILY MEMBER

the specification of which (check only one item below):

☐ is attached hereto

☐ was filed as United States application Serial No \_\_\_\_\_ on \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

☒ was filed as PCT international application Number PCT.GB99.10738 on 18-Aug-1999

and was amended under PCT Article 19 on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 and all information which became available between the filing of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(d) or §365(b) of any foreign applications(s) for patent or inventor's certificate or 365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) having a filing date before that of the application(s) on which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

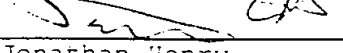
COUNTRY

APPLICATION NUMBER

DATE OF FILING

PRIORITY CLAIMED

<b>C BINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Continued – Includes References to PCT International Applications)</b>				ATTORNEY'S DOCKET NUMBER	
<p>I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or §365(c) of any PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:</p>					
<b>PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:</b>					
U.S. APPLICATIONS			STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED	
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO.	PCT FILING DATE	U.S. FILING NUMBERS ASSIGNED (if any)			
PCT.GB99.02738	18-Aug-1999			X	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believe to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardise the validity of the application or any patent issued thereon.</p>					
<p>I hereby appoint <b>NIXON &amp; VANDERHYE P.C., 1100 North Glebe Rd., 8<sup>th</sup> Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000 (to whom all communications are to be directed)</b>, and the following attorneys thereof (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Arthur R. Crawford, 25327; Larry S. Nixon, 25640; Robert A. Vanderhye, 27076; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffery H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr. 29366; Thomas E. Byrne, 32205; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; William J. Griffin, 31260; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334.</p>					
Send Correspondence to: Nixon & Vanderhye PC 8th Floor 1100 North Glebe Road Arlington Virginia 22201-4714 USA Nixon & Vanderhye PC 8 <sup>th</sup> Floor, 1100 North Glebe Road Arlington, VA 22201-4714				Direct Telephone Calls to:	

1. Inventor's signature  Date 16 Feb 01

Inventor's Name (typed) Jonathan Henry ELLIS Nationality: British

First Middle Initial Family Name Citizenship

Residence (City) WAKE (State/Foreign Country) UK

Post Office Address Glaxo Wellcome plc, Gunnels Wood Road, Stevenage, Hertfordshire, SG1 2NY, United Kingdom

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Inventor's Name (typed) \_\_\_\_\_

First Middle Initial Family Name Citizenship

Residence (City) \_\_\_\_\_ (State/Foreign Country) \_\_\_\_\_

Post Office Address \_\_\_\_\_

3. Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Inventor's Name (typed) \_\_\_\_\_  
**First Middle Initial Family Name Citizenship**  
Residence (City) \_\_\_\_\_ (State/Foreign Country) \_\_\_\_\_  
Post Office Address \_\_\_\_\_
4. Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Inventor's Name (typed) \_\_\_\_\_  
**First Middle Initial Family Name Citizenship**  
Residence (City) \_\_\_\_\_ (State/Foreign Country) \_\_\_\_\_  
Post Office Address \_\_\_\_\_
5. Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Inventor's Name (typed) \_\_\_\_\_  
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Residence (City) \_\_\_\_\_ (State/Foreign Country) \_\_\_\_\_  
Post Office Address \_\_\_\_\_
6. Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
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Post Office Address \_\_\_\_\_
8. Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Inventor's Name (typed) \_\_\_\_\_  
**First Middle Initial Family Name Citizenship**  
Residence (City) \_\_\_\_\_ (State/Foreign Country) \_\_\_\_\_  
Post Office Address \_\_\_\_\_

RECORDATION FORM COVER SHEET

PATENTS ONLY

Our Ref.: 1430-263

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Jonathan H. Ellis</p> <p>Additional name/s of conveying party/ies attached? <input type="checkbox"/></p> <p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment      <input type="checkbox"/> Merger <input type="checkbox"/> Security Assignment      <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p> <p>Execution Date: <u>February 16, 2001 &amp; March 7, 2001</u></p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>GLAXO WELLCOME plc</u> Internal Address: _____ Street Address: <u>Lansdowne House</u> <u>Berkeley Square</u> City: <u>London</u> State/Country: <u>England</u> Zip: <u>W1X 6BQ</u></p> <p>Additional name/s &amp; address/es attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

<p>A. Patent Application No(s). (1) <u>09/763.024</u> (2) _____ (3) _____</p>	<p>B. Patent No(s). (1) _____ (2) _____ (3) _____</p>
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Additional numbers attached ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Mary J. Wilson</u> Internal Address: _____ Street Address: <u>Nixon &amp; Vanderhye P.C.</u> <u>1100 North Glebe Road</u> <u>8<sup>th</sup> Floor</u> City: <u>Arlington</u> State: <u>VA</u> Zip: <u>22201</u></p>	<p>6. Total number of applications &amp; patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41) \$ <u>120.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account #14-1140</p> <p>8. The Commissioner is hereby authorized to charge any <u>deficiency</u> in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our <b>Account No. 14-1140.</b></p>
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DO NOT USE THIS SPACE

9. Statements and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<p><u>Mary J. Wilson</u> Name of Person Signing Reg. No. 32,955</p>	<p><u>Mary J. Wilson</u> Signature</p>	<p><u>March 20, 2001</u> Date</p>
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Total number of pages including **original** cover sheet, attachments, and document: [5]

RECORDATION FORM COVER SHEET

PATENTS ONLY

Our Ref: 1430-263

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

1. Name of conveying party(ies): GLAXO WELLCOME plc Lansdowne House Berkeley Square London W1X 6BQ, England	
2. Name: GLAXO RESEARCH AND DEVELOPMENT LIMITED Street Address: Glaxo Wellcome House, Berkeley Avenue City: Greenford, Middlesex State: England Zip: UB6 0NN	
4. Application number(s) or patent number(s):	
A. Patent Application No(s). (4) 09/763,024 (5) (6) (7) (8)	B. Patent No(s). (4) (5) (6) (7) (8)

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

1. Name of conveying party(ies): GLAXO RESEARCH AND DEVELOPMENT LIMITED Glaxo Wellcome House, Berkeley Avenue Greenford, Middlesex UB6 0NN, England	
2. Name: GLAXO WELLCOME INC. Street Address: Five Moore Drive City: Research Triangle Park State: North Carolina Zip: 27709	
4. Application number(s) or patent number(s):	
A. Patent Application No(s). (4) 09/763,024 (5) (6) (7) (8)	B. Patent No(s). (4) (5) (6) (7) (8)

## ASSIGNMENT

WHEREAS I/WE, Jonathan Henry ELLIS residing at Glaxo Wellcome plc, Gunnels Wood Road, Stevenage, Hertfordshire, SG1 2NY (hereinafter called "the inventor(s)") have invented or discovered "Grip, Human Adapter Protein Related to the GRB2 Family Member" (hereinafter called "the invention") for which an application for Letters Patent in the United States of America was originally filed as International Application No. PCT/GB99/02738 on 18 August 1999 (hereinafter called "the application"), and

WHEREAS the invention, being made in the circumstances set out in Section 39(1)(a) of the United Kingdom Patents Act 1977, belongs to my/our employer, namely GLAXO WELLCOME plc, a company incorporated in England whose registered address is Lansdowne House, Berkeley Square, London W1X 6BQ, England, and

WHEREAS GLAXO RESEARCH AND DEVELOPMENT LIMITED, a company incorporated in England whose registered address is Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex UB6 0NN, England, is desirous of acquiring from GLAXO WELLCOME plc the whole right, title and interest in and to the invention and the application, and

WHEREAS GLAXO WELLCOME plc and GLAXO RESEARCH AND DEVELOPMENT LIMITED have authorised and requested my/our making the application, and

WHEREAS GLAXO WELLCOME INC., a corporation organised and existing under and by virtue of the laws of the State of North Carolina and having its principal place of business at Five Moore Drive, Research Triangle Park, North Carolina 27709, USA is desirous of acquiring from GLAXO RESEARCH AND DEVELOPMENT LIMITED the whole right, title and interest in and to the invention and the application in respect of the United States of America;

NOW, THEREFORE, to all whom it may concern be it known that I/we, the inventor(s), hereby confirm the ownership by GLAXO WELLCOME plc of the invention and the

application by operation of law under the United Kingdom Patents Act 1977 and, if under the law of the United States of America I/we the inventor(s) have any ownership right, title and interest in the invention and the application (which I/we do not believe to be the case and claim no ownership right, title or interest in the invention and the application based on the law of the United Kingdom), I/we the inventor(s) nevertheless hereby assign and transfer such ownership right, title and interest in and to the invention and the application to GLAXO WELLCOME plc. GLAXO WELLCOME plc in turn hereby assigns and transfers to GLAXO RESEARCH AND DEVELOPMENT LIMITED its whole right, title and interest in and to the invention and the application. GLAXO RESEARCH AND DEVELOPMENT LIMITED in turn hereby assigns and transfers to GLAXO WELLCOME INC. its whole right, title and interest in and throughout the United States of America in and to the invention and the application and in and to any priority rights in respect of the invention and the application and in and to any divisional application, continuation or continuation in part application thereof, and in and to any extension or re-issue thereof, and I/we the inventor(s) and GLAXO WELLCOME plc and GLAXO RESEARCH AND DEVELOPMENT LIMITED hereby authorise and request any patent arising therefrom in the United States of America be issued to GLAXO WELLCOME INC.

AND GLAXO WELLCOME plc and GLAXO RESEARCH AND DEVELOPMENT LIMITED hereby, and I/we the inventor(s) for myself/ourselves and my/our respective executors and legal representatives hereby, agree to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, oaths, and statements, and to do all things necessary or

IN WITNESS whereof and with effect from the 19 day of August 1998 the inventor(s) and Graham George Brereton as Attorney of both GLAXO WELLCOME plc and GLAXO RESEARCH AND DEVELOPMENT LIMITED by virtue of Powers of Attorney granted by GLAXO WELLCOME plc and GLAXO RESEARCH AND DEVELOPMENT LIMITED respectively have hereunto set their respective hands.

SIGNED by Jonathan Henry ELLIS:

Date: 16 Feb 01

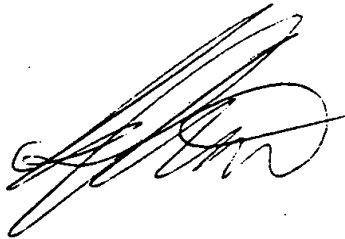


in the presence of:



PAUL LIFE

SIGNED by Michael John Stott as the Attorney of each of Glaxo Wellcome plc and Glaxo Research and Development Limited:



7-3-01